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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **ZG018US**First Inventor **Kia Silverbrook**Title **Inkjet Printhead Chip Having Drive Circuitry for  
Pre-Heating Ink**Express Mail Label No. **223810/10/1063**U.S. PTO  
111703**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages **60**] (preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets **76** ]
5. Oath or Declaration [ Total Pages **3** ]
  - a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. **09 / 966,289**

Prior application information:

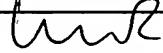
Examiner **Raquel Yvette Gordon**Group / Art Unit: **2853**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

**24011**  
(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

Name	<b>KIA SILVERBROOK</b>				
Address	<b>393 Darling Street,</b>				
City	Balmain	State	NSW	Zip Code	2041
Country	Australia	Telephone	+61-2-9818-6633	Fax	+61-2-9555-7762
Name (Print/Type)	<b>KIA SILVERBROOK</b>			Registration No. (Attorney/Agent)	
Signature				Date Number <b>6, 2003</b>	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

111703  
16688  
U.S.P.T.O.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kia Silverbrook
Examiner Name	
Art Unit	
Attorney Docket No.	ZG018US

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number  
Deposit Account Name

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ 770.00)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	6	-20** = 0	x 18	= 0
Independent Claims	1	- 3** = 0	x 86	= 0
Multiple Dependent				

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

## Large Entity

## Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	40.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

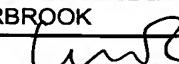
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

(Complete if applicable)

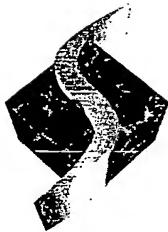
## SUBMITTED BY

Name (Print/Type)	Kia SILVERBROOK	Registration No. (Attorney/Agent)		Telephone	61298186633
Signature				Date	November 6, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**SILVERBROOK RESEARCH Pty Ltd**

393 Darling Street Balmain NSW 2041 Australia  
PO Box 207 Balmain NSW 2041 Australia  
Phone: +61 2 9818 6633 Fax: + 61 2 9555 7762  
Email: [info@silverbrookresearch.com](mailto:info@silverbrookresearch.com)  
ACN 066 573 671

November 13, 2003

Commissioner of Patents and Trademarks  
Washington DC 20231  
USA

**COPY**

Dear Sir

**16 New United States Patent Applications**  
**Assignee: Silverbrook Research Pty Ltd**

This letter accompanies 16 new patent applications.

**One bank draft** for the total amount of US\$13,000 is enclosed to cover filing and assignment fees for each of the 16 applications. Also attached is a list giving details of each application.

We look forward to receiving filing receipts in due course.

If you need to contact us in relation to the applications, please email my assistant, Leonie News at [leonie.news@silverbrookresearch.com](mailto:leonie.news@silverbrookresearch.com) or by fax to +61 2 9555 7762.

Yours faithfully

Kia Silverbrook  
Silverbrook Research Pty Ltd  
[kia.Silverbrook@silverbrookresearch.com](mailto:kia.Silverbrook@silverbrookresearch.com)

DOCKET NO.	TITLE	INVENTORS	AMOUNT US\$
1 ZG016	A Thermal Bend Actuator and Control Circuitry for a Micro-Electromechanical Device	Kia Silverbrook	\$810.00
2 ZG014	A Micro-Electromechanical Device that Incorporates Covering Formations for Actuators of the Device	Kia Silverbrook	\$810.00
3 ZG013	A Micro-Electromechanical Assembly that Incorporates a Covering Formation for a Micro-Electromechanical Device	Kia Silverbrook	\$810.00
4 ZG150	Printhead Supporting Shell	Kia Silverbrook	\$810.00
5 ZG111	A Micro-Electromechanical Fluid Ejection	Kia Silverbrook	\$810.00
6 ZG110	A Micro-Electromechanical Drive Mechanism	Kia Silverbrook	\$810.00
7 ZG109	A Pagewidth Printhead Chip Having Symmetrically Actuated Fluid Ejection Components	Kia Silverbrook	\$810.00
8 ZG174	Stable Printhead Assembly	Kia Silverbrook	\$810.00
9 ZF197	A Micro-Electromechanical Device that Incorporates a Motion-Transmitting Structure	Kia Silverbrook	\$810.00
10 ZG172	Print Media Cartridge with Integral Print Media Transport Mechanism	Tobin Allen King and Kia Silverbrook	\$850.00
11 ZG018	Inkjet Printhead Chip Having Drive Circuitry for Pre-Heating Ink	Kia Silverbrook	\$810.00
12 ZG173	Printhead Capping Mechanism with Rotary Platen Assembly	Kia Silverbrook	\$810.00
13 ZG010	An Inkjet Printhead Assembly Having a Rotary Platen Assembly	Kia Silverbrook	\$810.00
14 ZG011	A Self-Cleaning Inkjet Printhead Assembly	Kia Silverbrook	\$810.00
15 ZG012	An Ink Jet Printer that Incorporates an Ink Distribution Assembly	Kia Silverbrook	\$810.00
16 ZG176	Laminated Support Structure for Silicon Printhead	Kia Silverbrook	\$810.00
17			\$13,000.00